

Groupoid models and C^* -algebras of diagrams of groupoid correspondences

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Étale groupoid correspondences – the definition

Definition (étale groupoid correspondence)

Let \mathcal{G} and \mathcal{H} be étale groupoids.

An **étale groupoid correspondence** $\mathcal{G} \leftarrow \mathcal{H}$ is a locally (quasi)compact space Z with commuting actions $\mathcal{G} \curvearrowright Z \curvearrowleft \mathcal{H}$, such that

- ▶ $\sigma: Z \rightarrow \mathcal{H}^{(0)}$ is a local homeomorphism, and
- ▶ the right \mathcal{H} -action on Z is free and proper.

A groupoid correspondence is **proper/tight** if the map $Z/\mathcal{H} \rightarrow \mathcal{G}^{(0)}$ induced by ϱ is **proper/a homeomorphism**.

Examples of groupoid correspondences

- ▶ A (proper) groupoid correspondence on a space X is a (proper) topological correspondence on X .
- ▶ A proper groupoid correspondence on a group G is a self-similarity of G .
- ▶ Examples of self-similar groups come from **injective group homomorphisms** and **iterated monodromy groups of self-coverings**.
- ▶ A proper groupoid correspondence on $V \rtimes G$ for an action of a group G on a discrete set V is **almost** a self-similar graph with vertex set V (Exel–Pardo). We get the slightly more general self-similar groupoid actions on graphs by Laca–Raeburn–Ramagge–Whittaker.

Self-similarities of groups

- ▶ Let G and H be groups and let Z be a groupoid correspondence $G \leftarrow H$.
- ▶ $\sigma: Z \rightarrow H^{(0)} = \{\text{pt}\}$ local homeomorphism $\implies Z$ discrete
- ▶ Since the right H -action on Z is free and proper,
 $Z \cong A \times H$ with $(a, h_1) \cdot h_2 = (a, h_1 \cdot h_2)$.
Here A may be taken to be a fundamental domain or Z/H .
- ▶ Must have $g \cdot (a, h) = (g \cdot a, g|_a \cdot h)$ for a left G -action on A and a map $G \times A \rightarrow H, (g, a) \mapsto g|_a$.
- ▶ $g_1 \cdot (g_2 \cdot (a, h)) = (g_1 \cdot g_2) \cdot (a, h) \iff$
 $(g_1 \cdot g_2)|_a = g_1|_{g_2 \cdot a} \cdot g_2|_a$.
- ▶ The groupoid correspondence is proper $\iff A$ is finite.
If $G = H$, then this is a **self-similarity** of G .
Nekrashevych calls Z a “covering permutational bimodule”.
- ▶ The groupoid correspondence $G \leftarrow H$ is tight \iff
 $|A| = 1 \iff Z \cong H$ as a right H -space.
Get a **group homomorphism** $G \rightarrow H$.

Examples of self-similar groups

- ▶ The first self-similar groups were counterexamples, such as amenable groups of intermediate growth.
- ▶ They occur naturally in fractal dynamics, as iterated monodromy groups of self-coverings of topological spaces.
- ▶ Self-similarities have also been used, without using this language, to build C^* -algebras:

Example (Stammeier, 2015)

An injective group homomorphism $\varphi: G \hookrightarrow H$ gives a groupoid correspondence $H \leftarrow G$ with $Z = H$, left H -action by translation, right G -action $h \cdot g := h\varphi(g)$.
(On C^* -algebras of irreversible algebraic dynamical systems)

Example (Cuntz–Vershik, 2013)

If G and H are Abelian, $\varphi: G \hookrightarrow H$ is equivalent to a surjective group homomorphism $\hat{\varphi}: \hat{H} \twoheadrightarrow \hat{G}$.
(C^* -algebras associated with endomorphisms and polymorphisms of compact abelian groups)

Iterated monodromy groups

\mathcal{M} space

\mathcal{M}_1 open subset in \mathcal{M}

p finite covering map $p: \mathcal{M}_1 \rightarrow \mathcal{M}$

t base point in \mathcal{M}

G $\pi_1(\mathcal{M}, t)$

Z {homotopy classes of paths in \mathcal{M} from t to a point in $p^{-1}(t)$ }

γ $\gamma \in G$

ζ $\zeta \in Z$

$\zeta \cdot \gamma$ the usual path concatenation

$\gamma \cdot \zeta$ the concatenation of ζ with the unique p -lift of γ starting at the end point of ζ

Lemma

The right G -action on Z is free.

$Z/G \cong p^{-1}(t)$ through the end point map.

An example (Nekrashevych, Self-Similar Groups, 5.2.2)

- ▶ $p: \mathbb{C} \setminus \{0, 1, -1\} \rightarrow \mathbb{C} \setminus \{0, -1\}$, $z \mapsto z^2 - 1$
- ▶ We have removed the **critical value** -1 of $z^2 - 1$ on \mathbb{C} and its orbit $\{0\}$ to make p a covering.
- ▶ Let $t = (1 - \sqrt{5})/2 \in (-1, 0)$. Then $p^{-1}(t) = \{\pm t\}$.
- ▶ G is generated freely by the loops around -1 and 0 , denoted a and b .
- ▶ Let x_0 be the constant path $t \rightarrow t$.
- ▶ Let x_1 be a path $t \rightarrow -t$ through the upper half plane.
- ▶ Then $Z = x_0 G \sqcup x_1 G$.
- ▶ The left action of the free group G on Z is determined by $ax_0 = x_1 b$, $ax_1 = x_0$, $bx_0 = x_0 a$, $bx_1 = x_1$.

Self-similar actions on graphs

- ▶ Let $\mathcal{G} = \mathcal{H} = V \rtimes \Gamma$ for a group Γ and a discrete Γ -set V .
- ▶ Let Z be a groupoid correspondence $\mathcal{G} \leftarrow \mathcal{H}$.
- ▶ An action of $\mathcal{G} = \mathcal{H}$ is an action of Γ with an equivariant anchor map $Z \rightarrow V$.
- ▶ Z is discrete and of the form $A \times \Gamma$,
 $(a, g) \cdot h = (a, g \cdot h)$, $g \cdot (a, h) = (g \cdot a, g|_a \cdot h)$
- ▶ $\sigma(a, g) = g^{-1} \cdot \sigma(a)$, $\varrho(a, g) = \varrho(a)$ for maps $\sigma, \varrho: A \rightrightarrows V$.
- ▶ Must have $\sigma(g \cdot a) = g|_a \cdot \sigma(a)$, $\varrho(g \cdot a) = g \cdot \varrho(a)$.
- ▶ proper groupoid correspondence $\iff \varrho: A \rightarrow V$ finite-to-one
- ▶ tight groupoid correspondence $\iff \varrho: A \rightarrow V$ bijective

Remark

When combining graph algebras and Nekrashevych's algebras of self-similar groups, the right generality seems a discrete groupoid \mathcal{G} with a groupoid correspondence $\mathcal{G} \leftarrow \mathcal{G}$.

From groupoid to C^* -correspondences

Definition

Let A, B be C^* -algebras.

An A, B -correspondence or correspondence $A \leftarrow B$ is a Hilbert B -module \mathcal{E} with a nondegenerate $*$ -homomorphism $A \rightarrow \mathbb{B}(\mathcal{E})$.

A correspondence is **proper** if $A \rightarrow \mathbb{K}(\mathcal{E})$.

- ▶ Let \mathcal{G}, \mathcal{H} be groupoids and Z a correspondence $\mathcal{G} \leftarrow \mathcal{H}$.
- ▶ $\mathfrak{S}(Z)$: linear span of $C_c(U)$ for Hausdorff open $U \subseteq Z$
- ▶ Make $\mathfrak{S}(Z)$ a bimodule over $\mathfrak{S}(\mathcal{G})$ and $\mathfrak{S}(\mathcal{H})$ by

$$(a * \xi)(z) := \sum_{g \in \mathcal{G}^{\rho(z)}} a(g) \xi(g^{-1}z),$$

$$(\xi * b)(z) := \sum_{h \in \mathcal{H}^{\sigma(z)}} \xi(zh) b(h^{-1})$$

- ▶ Define an inner product on $\mathfrak{S}(Z)$ by $\langle \xi_1 | \xi_2 \rangle := \text{“}\xi_1^* * \xi_2\text{”}$:

$$\langle \xi_1 | \xi_2 \rangle(h) := \sum_{z \in Z: \sigma(z)=r(h)} \overline{\xi_1(z)} \xi_2(z \cdot h).$$

From groupoid to C^* -correspondences II

Proposition

A (proper) groupoid correspondence $Z: \mathcal{G} \leftarrow \mathcal{H}$ induces a (proper) C^* -correspondence $C^*(Z): C^*(\mathcal{G}) \leftarrow C^*(\mathcal{H})$.

Proof steps.

- ▶ The inner product on $\mathfrak{S}(Z)$ is positive definite.
- ▶ We complete $\mathfrak{S}(Z)$ in the norm $\|\xi\| := \|\langle \xi | \xi \rangle\|^{1/2}$ to a Hilbert module $C^*(Z)$ over $C^*(\mathcal{H})$.
- ▶ The left action of $\mathfrak{S}(\mathcal{G})$ on $\mathfrak{S}(Z)$ extends to a nondegenerate $*$ -homomorphism $C^*(\mathcal{G}) \rightarrow \mathbb{B}(C^*(Z))$.
- ▶ $C^*(\mathcal{G}) \rightarrow \mathbb{K}(C^*(Z)) \iff Z$ is proper



The Toeplitz algebra

A, D C^* -algebras

\mathcal{E} A, A -correspondence

φ $*$ -homomorphism $A \rightarrow D$

S linear map $\mathcal{E} \rightarrow D$

Definition (Toeplitz representation)

Call (φ, S) a **Toeplitz representation** of \mathcal{E} if

- ▶ $S(x)^*S(y) = \varphi(\langle x | y \rangle)$ for $x, y \in \mathcal{E}$
- ▶ $S(ax) = \varphi(a)S(x)$ and $S(xa) = S(x)\varphi(a)$ for $a \in A, x \in \mathcal{E}$

Definition (Toeplitz algebra)

The **Toeplitz algebra** of \mathcal{E} is a C^* -algebra $\mathcal{T}_{\mathcal{E}}$ such that $*$ -homomorphisms $\mathcal{T}_{\mathcal{E}} \rightarrow D$ are in natural bijection with Toeplitz representations of \mathcal{E} in D .

The Cuntz–Pimsner algebra

Let \mathcal{E} with left action $\psi: A \rightarrow \mathbb{B}(\mathcal{E})$ be an A, A -correspondence.

Lemma

Let (φ, S) be a Toeplitz representation.

There is a unique $*$ -homomorphism $\varphi_1: \mathbb{K}(\mathcal{E}) \rightarrow D$ with $\varphi_1(|x\rangle\langle y|) = S(x)S(y)^*$ for $x, y \in \mathcal{E}$.

Definition

Let $J \subseteq A$ be an ideal with $\varphi(J) \subseteq \mathbb{K}(\mathcal{E})$.

A Toeplitz representation (φ, S) is **Cuntz–Pimsner covariant on J** if $\varphi(a) = \varphi_1(\psi(a))$ for all $a \in J$.

Definition (relative Cuntz–Pimsner algebra)

The **Cuntz–Pimsner algebra** of \mathcal{E} relative to J is a C^* -algebra $\mathcal{O}_{\mathcal{E}, J}$ such that $*$ -homomorphisms $\mathcal{O}_{\mathcal{E}, J} \rightarrow D$ are in natural bijection with Toeplitz representations of \mathcal{E} in D that are Cuntz–Pimsner covariant on J .

Graph algebras as Cuntz–Pimsner algebras

Example (Cuntz algebras)

Let $A = \mathbb{C}$, $\mathcal{E} = \mathbb{C}^n$ for $n \in \mathbb{N}$. The Cuntz–Pimsner algebra for \mathcal{E} (relative to A) is, by definition, the Cuntz algebra \mathcal{O}_n .

Example (Graph algebras)

- ▶ Let $r, s: E \rightrightarrows V$ be a directed graph.
- ▶ View it as a correspondence on the discrete groupoid V .
- ▶ Let \mathcal{E} be the induced C^* -correspondence $C_0(V) \leftarrow C_0(V)$.
- ▶ The subset of **regular vertices** is

$$V_{\text{reg}} := \{v \in V : r^{-1}(v) \text{ is finite and non-empty}\}.$$

- ▶ The **graph C^* -algebra** of the directed graph $r, s: E \rightrightarrows V$ is the Cuntz–Pimsner algebra of \mathcal{E} relative to $C_0(V_{\text{reg}})$.
- ▶ In the following, will assume $V = V_{\text{reg}}$.

The Cuntz–Pimsner algebra for a groupoid correspondence

- ▶ Let \mathcal{G} be an étale, locally compact groupoid.
- ▶ Let Z be a proper étale, locally compact groupoid correspondence $\mathcal{G} \leftarrow \mathcal{G}$.
- ▶ We have defined a proper C^* -correspondence $C^*(Z): C^*(\mathcal{G}) \leftarrow C^*(\mathcal{G})$.
- ▶ We call its (absolute) Cuntz–Pimsner algebra the **Cuntz–Pimsner algebra** of the groupoid correspondence.

Examples of Cuntz–Pimsner algebras

Example (Topological graph algebras)

Let $\varrho, \sigma: Z \rightrightarrows X$ be a groupoid correspondence on a space X . Assume ϱ to be surjective and proper.

The Cuntz–Pimsner algebra of this groupoid correspondence is Katsura’s topological graph algebra.

Example (Nekrashevych’s C^* -algebra of a self-similar group)

Let G be a self-similar group with permutational bimodule Z , viewed as a proper groupoid correspondence $G \leftarrow G$.

Its Cuntz–Pimsner algebra is Nekrashevych’s C^* -algebra.

Example (Exel–Pardo’s C^* -algebras of self-similar graphs)

A proper groupoid correspondence $Z: V \rtimes \Gamma \leftarrow V \rtimes \Gamma$ for a discrete set V and an action of a discrete group Γ on V with surjective $r: Z \rightarrow V$ is equivalent to a self-similar graph as generalised by Laca–Raeburn–Ramagge–Whittaker. The Cuntz–Pimsner algebra of the groupoid correspondence is the C^* -algebra studied before.

The groupoid model of a groupoid correspondence

- ▶ Let $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ be a groupoid correspondence.
- ▶ The Cuntz–Pimsner algebra of $C^*(\mathcal{X}): C^*(\mathcal{G}) \leftarrow C^*(\mathcal{G})$ is isomorphic to a groupoid C^* -algebra.
- ▶ We describe the underlying groupoid concretely, and by a universal property.
- ▶ The universal property describes actions of the groupoid model on locally compact spaces.
- ▶ Both descriptions use the universal action of a groupoid correspondence.
- ▶ This is an analogue of the space of complete histories for a topological correspondence.
- ▶ Both descriptions use the composition of groupoid correspondences.

Composition of groupoid correspondences

- ▶ Let $\mathcal{G}, \mathcal{H}, \mathcal{K}$ be étale groupoids.
- ▶ Let $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{H}$ and $\mathcal{Y}: \mathcal{H} \leftarrow \mathcal{K}$ be groupoid correspondences.
- ▶ $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y} := \{(x, y) \in \mathcal{X} \times \mathcal{Y} : \sigma(x) = \varrho(y)\}$.
- ▶ Let \mathcal{G} and \mathcal{K} act on $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y}$ by $g \cdot (x, y) := (g \cdot x, y)$,
 $(x, y) \cdot k := (x, y \cdot k)$.
- ▶ Let \mathcal{H} act on $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y}$ by $h \cdot (x, y) := (x \cdot h^{-1}, h \cdot y)$.

Lemma

The \mathcal{G}, \mathcal{K} -actions on $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y}$ descend to commuting actions on the \mathcal{H} -orbit space $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y} / \mathcal{H}$, making it a groupoid correspondence $\mathcal{X} \circ \mathcal{Y}: \mathcal{G} \leftarrow \mathcal{K}$.

Proof.

The \mathcal{H} -action on \mathcal{X} is free and proper.

The projection $\mathcal{X} \times_{\mathcal{H}(0)} \mathcal{Y} \rightarrow \mathcal{X}$ is \mathcal{H} -equivariant. □

Associativity and unit correspondences

Lemma

Consider a chain of three composable groupoid correspondences

$$\mathcal{G} \xleftarrow{\mathcal{X}} \mathcal{H} \xleftarrow{\mathcal{Y}} \mathcal{K} \xleftarrow{\mathcal{Z}} \mathcal{L}.$$

Then there is a natural isomorphism of groupoid correspondences $(\mathcal{X} \circ \mathcal{Y}) \circ \mathcal{Z} \cong \mathcal{X} \circ (\mathcal{Y} \circ \mathcal{Z})$.

Definition (unit correspondence)

Let \mathcal{G} be an étale groupoid. Equip the arrow space \mathcal{G} with the actions of \mathcal{G} by left and right multiplication. This is called the **unit correspondence** on \mathcal{G} .

Lemma

$\mathcal{G} \circ \mathcal{X} \cong \mathcal{X}$ and $\mathcal{X} \circ \mathcal{H} \cong \mathcal{X}$ by multiplication.

Remark

This makes $\mathcal{X}^{\circ n}$ for $n \in \mathbb{N}$ well defined and well behaved.

Actions of a groupoid correspondence

- ▶ Let $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ be a groupoid correspondence.
- ▶ Let Y be a locally compact space with a left action of \mathcal{G} .
- ▶ Define $\mathcal{X} \circ Y$ as above, as the orbit space of the \mathcal{G} -action on $\mathcal{X} \times_{\mathcal{G}(0)} Y$ defined by $g \cdot (x, y) := (xg^{-1}, gy)$. Equip $\mathcal{X} \circ Y$ with the obvious left \mathcal{G} -action $g \cdot [(x, y)] := [(g \cdot x, y)]$.
- ▶ An **action of the groupoid correspondence** $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ is a locally compact space Y with a \mathcal{G} -action and a \mathcal{G} -equivariant homeomorphism $\alpha: \mathcal{X} \circ Y \xrightarrow{\sim} Y$.
- ▶ Let Y_1 and Y_2 be spaces with such actions. A continuous map $f: Y_1 \rightarrow Y_2$ is **equivariant** if it is \mathcal{G} -equivariant and the following diagram commutes:

$$\begin{array}{ccc} \mathcal{X} \circ Y_1 & \xrightarrow{\alpha_1} & Y_1 \\ \text{id}_{\mathcal{X}} \circ f \downarrow & & \downarrow f \\ \mathcal{X} \circ Y_2 & \xrightarrow{\alpha_2} & Y_2 \end{array}$$

Universal property of the groupoid model

Definition

A **groupoid model** for the groupoid correspondence $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ is a groupoid \mathcal{H} such that for any locally compact space Y , there is a natural bijection $\{\mathcal{H}\text{-actions on } Y\} \cong \{\mathcal{X}\text{-actions on } Y\}$.
Naturality: for a continuous map $f: Y_1 \rightarrow Y_2$
 \mathcal{H} -equivariant $\iff \mathcal{X}$ -equivariant

Lemma

*Let \mathcal{H}_1 and \mathcal{H}_2 be étale groupoids with a natural bijection between actions of \mathcal{H}_1 and \mathcal{H}_2 on Y for all locally compact spaces Y .
Then \mathcal{H}_1 and \mathcal{H}_2 are isomorphic as topological groupoids.
(And the natural bijection comes from this isomorphism.)*

Proposition

*The groupoid model of a groupoid correspondence exists.
It is unique up to isomorphism.*

Universal action of a groupoid correspondence

Definition

A **universal action** of a groupoid correspondence $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ is a terminal object in the category of \mathcal{X} -actions.

Lemma

The category of \mathcal{H} -actions for an étale groupoid \mathcal{H} has the canonical action on $\mathcal{H}^{(0)}$ as a terminal object.

Lemma

The object space of the groupoid model of a groupoid correspondence must be a universal action of it.

An example

\mathcal{G} pt: one-object one-arrow groupoid

\mathcal{X} $\{1, 2\}$ correspondence pt \leftarrow pt with two points

Y locally compact space

- ▶ An \mathcal{X} -action on Y is equivalent to a homeomorphism

$$\alpha: \{1, 2\} \times Y \xrightarrow{\sim} Y.$$

- ▶ Iteration gives homeomorphisms $\{1, 2\}^n \times Y \xrightarrow{\sim} Y$.
- ▶ They give continuous maps $Y \rightarrow \{1, 2\}^n$ for all $n \in \mathbb{N}$.
- ▶ These combine to a continuous map $Y \rightarrow \{1, 2\}^{\mathbb{N}}$.
- ▶ The obvious homeomorphism $\{1, 2\} \times \{1, 2\}^{\mathbb{N}} \xrightarrow{\sim} \{1, 2\}^{\mathbb{N}}$ is the universal action of \mathcal{X} .
- ▶ $\{1, 2\}^{\mathbb{N}}$ is the space of complete histories for the topological correspondence \mathcal{X} .

An analogue of the space of complete histories

- ▶ Let $\mathcal{X}: \mathcal{G} \leftarrow \mathcal{G}$ be a groupoid correspondence.
- ▶ For $n \in \mathbb{N}$, form the n -fold composition $\mathcal{X}^{\circ n}: \mathcal{G} \leftarrow \mathcal{G}$.
- ▶ The map $\mathcal{X}^{\circ n+1} \rightarrow \mathcal{X}^{\circ n}$, $[(x_0, \dots, x_n)] \rightarrow [(x_0, \dots, x_{n-1})]$, is **not well defined**.
- ▶ $(x_0, \dots, x_n) \sim (x_0 g_0^{-1}, g_0 x_1 g_1^{-1}, \dots, g_{n-2} x_{n-1} g_{n-1}^{-1}, g_{n-1} x_n)$
- ▶ The map $\mathcal{X}^{\circ n+1} / \mathcal{G} \rightarrow \mathcal{X}^{\circ n} / \mathcal{G}$, $[(x_0, \dots, x_n)] \rightarrow [(x_0, \dots, x_{n-1})]$, is well defined.

Definition

Let $\Omega := \varprojlim \mathcal{X}^{\circ n} / \mathcal{G}$.

Lemma

There is a well defined action of \mathcal{G} on Ω by

$$g \cdot [(x_0, x_1, x_2, \dots)] := [(g \cdot x_0, x_1, x_2, \dots)].$$

The map $\mathcal{X} \times_{\mathcal{G}(0)} \Omega \rightarrow \Omega$, $(x_0, [(x_1, x_2, \dots)]) \mapsto [(x_0, x_1, x_2, \dots)]$, induces a canonical homeomorphism $\mathcal{X} \circ \Omega \xrightarrow{\sim} \Omega$.