

# Self-similar graphs and their algebras.

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# Outline

- 1 The origins
- 2 Self-similar graphs
- 3 Groupoids and their algebras
- 4 The tight groupoid and its  $C^*$ -algebra.
- 5 Algebras of self similar graphs.
- 6 Further developments

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## The origins

Self-similar graphs

Groupoids and their algebras

The tight groupoid and its  $C^*$ -algebra.

Algebras of self similar graphs.

Further developments

The reason to consider this construction was to study two different kind of algebras under the same umbrella.

## The origins

Self-similar graphs

Groupoids and their algebras

The tight groupoid and its  $C^*$ -algebra.

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Further developments

First, a construction introduced by V. Nekrashevych, in order to study self-similar groups (group actions).

$X = \{a_1, \dots, a_n\}$  finite alphabet.  $G$  group acting on the  $n$ -rooted tree on  $X$  by automorphisms.

$g(a_i a_j) = g(a_i) \cdot g|_{a_i}(a_j)$  defines a 1-cocycle on  $G$ :

$$\begin{aligned} G \times X &\rightarrow G \\ (g, a_i) &\mapsto g|_{a_i} \end{aligned}$$

with  $gh|_a = g|_{ha} \cdot h|_a$  and  $g|_{ab} = (g|_a)|_b$ .

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## Definition

Define  $\mathcal{O}_{(G,X)}$  to be the universal  $C^*$ -algebra generated by isometries  $\{s_{a_1}, \dots, s_{a_n}\}$  and unitaries  $\{u_g \mid g \in G\}$  satisfying the relations:

$$(i) \quad s_{a_i}^* s_{a_j} = \delta_{i,j} \text{ for all } 1 \leq i, j \leq n.$$

$$(ii) \quad 1 = \sum_{i=1}^n s_{a_i} s_{a_i}^*$$

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Now, the following facts holds:

- 1  $\mathcal{O}_{(G,X)}$  is separable, and there are conditions for being nuclear and in the UCT class.
- 2 They allows to deal with group properties in algebra terms.
- 3 For any group  $G$ ,  $\mathcal{O}_G \leftrightarrow \mathcal{O}_{(G,X)}$ .



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Second, a construction introduced by T. Katsura as an example of a topological graph  $C^*$ -algebra representing Kirchberg algebras in the UCT in a combinatorial way.

## Definition

Let  $N \in \mathbb{N} \cup \{\infty\}$ , let  $A \in M_N(\mathbb{Z}^+)$  and  $B \in M_N(\mathbb{Z})$  be row-finite matrices. Define a set  $\Omega_A$  by

$$\Omega_A := \{(i, j) \in \{1, 2, \dots, N\} \times \{1, 2, \dots, N\} \mid A_{i,j} \geq 1\}.$$

Fix the following condition:

*(0)  $\Omega_A(i) \neq \emptyset$  for all  $i$ , and  $B_{i,j} = 0$  for  $(i, j) \notin \Omega_A$ .*

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Define  $\mathcal{O}_{A,B}$  to be the universal  $C^*$ -algebra generated by mutually orthogonal projections  $\{q_i\}_{i=1}^N$ , partial unitaries  $\{u_i\}_{i=1}^N$  with  $u_i u_i^* = u_i^* u_i = q_i$ , and partial isometries  $\{s_{i,j,n}\}_{(i,j) \in \Omega_A, n \in \mathbb{Z}}$  satisfying the relations:

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$$(ii) \quad q_i = \sum_{j \in \Omega_A(i)} \sum_{n=1}^{A_{i,j}} s_{i,j,n} s_{i,j,n}^* \text{ for all } i.$$



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- (iii)  $s_{i,j,n} u_j = s_{i,j,n+A_{i,j}}$  and  $u_i s_{i,j,n} = s_{i,j,n+B_{i,j}}$  for all  $(i,j) \in \Omega_A$  and  $n \in \mathbb{Z}$ .

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Further developments

How to connect both classes of algebras?



Relation (iii) in definition is equivalent to

$$u_i s_{i,j,n} = s_{i,j,\widehat{n}} u_j^k$$

for unique  $1 \leq \widehat{n} \leq A_{i,j}$  and  $k \in \mathbb{Z}$  such that  $n + B_{i,j} = \widehat{n} + kA_{i,j}$ .

If  $E_A$  is a finite graph, then  $u := \sum_{i=1}^N u_i$  is a unitary of  $\mathcal{O}_{A,B}$ .

For any  $i, j$ ,  $u s_{i,j,n} = u_i s_{i,j,n}$  and  $s_{i,j,n} u = s_{i,j,n} u_j$ .

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**Action of  $\mathbb{Z}$  on  $E_A$ :** Given  $(i, j, n)$  with  $1 \leq n \leq A_{ij}$ ,  $l \in \mathbb{Z}$ , define  $l \cdot (i, j, n) = (i, j, \hat{n})$  for the unique  $1 \leq \hat{n} \leq A_{i,j}$  and  $k \in \mathbb{Z}$  such that  $n + lB_{i,j} = \hat{n} + kA_{i,j}$ .

**1-cocycle:** For the above data,

$$\varphi(l, (i, j, n)) = k = \frac{(n - \hat{n}) + lB_{ij}}{A_{ij}}.$$

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$$u^l s_{i,j,n} = s_{l \cdot (i,j,n)} u^{\varphi(l,(i,j,n))}.$$

Katsura algebras looks like Nekrashevych algebras whenever  $N$  is finite.

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Further developments

They should come from a general setting.



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$$g \cdot (ab) = (g \cdot a)(\varphi(g, a) \cdot b)$$

The action must satisfy

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Given  $(G, E, \varphi)$ , define  $\mathcal{O}_{G,E}$  to be the universal  $C^*$ -algebra generated by mutually orthogonal projections  $\{p_x \mid x \in E^0\}$ , partial isometries  $\{s_a \mid a \in E^1\}$  and unitaries  $\{u_g \mid g \in G\}$  satisfying the relations:

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If the 1-cocycle is  $\varphi(g, a) = g$  for all  $g \in G, a \in E^1$ , then  $\mathcal{O}_{G,E} \cong C^*(E) \rtimes G$  (crossed product).

If the 1-cocycle is  $\varphi(g, a) = 1$  for all  $g \in G, a \in E^1$ , then vertices are fixed by the action of  $G$ , and  $\mathcal{O}_{G,E} \cong C^*(E)$ .

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Nekrashevych and Katsura algebras, by the arguments showed before.

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## Definition

A *groupoid*  $\mathcal{G}$  is an small category in which every homomorphism is an isomorphism. We will denote by  $\mathcal{G}^{(0)}$  its set of units, and by  $r, s : \mathcal{G} \rightarrow \mathcal{G}^{(0)}$  the range and source maps  $r(\gamma) = \gamma\gamma^*$  and  $s(\gamma) = \gamma^*\gamma$ .



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## Definition

A *topological groupoid* is a groupoid endowed with a topology under which multiplication and inversion are continuous maps; in particular,  $r$  and  $s$  are continuous maps.

## Definition

A topological groupoid  $\mathcal{G}$  is said to be *étale* if  $\mathcal{G}^{(0)}$  is locally compact and Hausdorff in the relative topology, and  $r$  (and so  $s$ ) is a local homeomorphism from  $\mathcal{G}$  to  $\mathcal{G}^{(0)}$ .

## Definition

An étale groupoid  $\mathcal{G}$  is said to be *ample* if  $\mathcal{G}^{(0)}$  is totally disconnected.

## Definition

A topological groupoid  $\mathcal{G}$  is said to be *étale* if  $\mathcal{G}^{(0)}$  is locally compact and Hausdorff in the relative topology, and  $r$  (and so  $s$ ) is a local homeomorphism from  $\mathcal{G}$  to  $\mathcal{G}^{(0)}$ .

## Definition

An étale groupoid  $\mathcal{G}$  is said to be *ample* if  $\mathcal{G}^{(0)}$  is totally disconnected.

Let  $\mathcal{G}$  be an étale groupoid, let  $C_c(\mathcal{G})$  the linear span of the set of continuous, complex valued, compactly supported functions on  $\mathcal{G}$ .

The operation

$$f * g(x) = \sum_{x=yz} f(y)g(z) \text{ and } f^*(x) = \overline{f(x^{-1})}$$

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## Definition

The *groupoid  $C^*$ -algebra*  $C^*(\mathcal{G})$  is the norm-completion of  $C_c(\mathcal{G})$  in a suitable norm.

If  $X$  is a locally compact, zero-dimensional and Hausdorff space, and if  $R$  is a unital commutative ring, we denote by

$$C_c(X, R)$$

the  $R$ -module formed by all locally constant, compactly supported,  $R$ -valued functions on  $X$ .

## Definition

Given an ample groupoid  $\mathcal{G}$ , and a unital commutative ring  $R$ , the *Steinberg algebra*  $A_R(\mathcal{G})$ , is defined to be the  $R$ -algebra obtained by equipping  $C_c(\mathcal{G}, R)$  with the convolution product

$$(fg)(\gamma) = \sum_{\gamma = \gamma_1 \gamma_2} f(\gamma_1)g(\gamma_2).$$



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The groupoid approach lets us to deal with some questions in a unique language.

## SIMPLICITY

If  $\mathcal{G}$  is amenable & Hausdorff, then  $C^*(\mathcal{G})$  simple iff  $\mathcal{G}$  is minimal & essentially principal [**Brown-Clark-Farthing-Sims**].

If  $\mathcal{G}$  is ample & Hausdorff, and  $K$  field, then  $A_K(\mathcal{G})$  simple iff  $\mathcal{G}$  is minimal & essentially principal [**Clark-Sims**].

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## Definition

A semigroup  $T$  is an *inverse semigroup* if

- for every  $x$  in  $T$ , there exists a unique  $x^* \in T$ , such that  $xx^*x = x$  and  $x^*xx^* = x^*$ ,
- there exists a (necessarily unique) element  $0 \in T$ , called the zero element, such that  $x0 = 0x = 0$ , for all  $x$  in  $T$ .

If  $T$  is an inverse semigroup, then the set of idempotents of  $T$ ,  $\mathcal{E} = \mathcal{E}(T)$ , is a semilattice with ordering  $e \leq f$  if and only if  $ef = e$ , and  $e \wedge f = ef$ .

This order extends to an order in  $T$ ,  $s \leq t$  if and only if  $s = ts^*s = ss^*t$ . We denote by  $e \perp f$  if and only if  $ef = 0$ , and  $e \pitchfork f$  if and only if  $ef \neq 0$ .

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## Definition

A filter in  $\mathcal{E}$  is a nonempty subset  $\eta \subseteq \mathcal{E}$  such that:

- 1  $0 \notin \eta$ ,
- 2 closed under  $\wedge$ ,
- 3  $f \geq e \in \eta$  implies  $f \in \eta$ .

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We denote the set of filters by  $\widehat{\mathcal{E}}_0$ . This is a locally compact totally disconnected Hausdorff space when equipped with a cylinder topology.

## Definition

A filter  $\eta$  is an *ultrafilter* if it is not properly contained in another filter. We denote  $\widehat{\mathcal{E}}_\infty \subseteq \widehat{\mathcal{E}}_0$  the space of ultrafilters.

## Definition

The set  $\widehat{\mathcal{E}}_{\text{tight}}$  of *tight filters* is the closure of  $\widehat{\mathcal{E}}_\infty$  into  $\widehat{\mathcal{E}}_0$ .

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## Definition

We can define a standard partial action of  $T$  on  $\widehat{\mathcal{E}}_0$  as follows:

1 For each  $e \in \mathcal{E}$ ,  $D_e^\beta = \{\eta \in \widehat{\mathcal{E}}_0 : e \in \eta\}$ ,

2 Given  $s \in T$ ,

$$\beta_s : D_{s^*s}^\beta \longrightarrow D_{ss^*}^\beta$$

$$\eta \longrightarrow \beta_s(\eta) = \{f \in \mathcal{E} : f \geq ses^* \text{ for every } e \in \eta\}$$

$\beta$  restricts to an action of  $T$  on ultrafilters and on tight filters.

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$\beta$  restricts to an action of  $T$  on ultrafilters and on tight filters.

Consider the transformation groupoid  $T \times \widehat{\mathcal{E}}_{\text{tight}}$ .

The elements are the pairs  $(s, \omega)$  such that  $\omega \in \text{Dom}(s) = D_{s^*s}^\beta$ .

We fix the germ relation:  $(s, \omega) \sim (t, \eta)$  if  $\omega = \eta$  and there exists an idempotent  $e \leq t, s$  with  $\omega \in \text{Dom}(e)$  such that  $se = te$ .

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## Definition

Define  $\mathcal{G}_{\text{tight}}(T) = T \times \widehat{\mathcal{E}}_{\text{tight}} / \sim$ , with:

- 1  $d([s, x]) = x$  and  $r([s, x]) = \beta_s(x)$ ,
- 2  $[s, z] \cdot [t, x] = [st, x]$  if and only if  $z = \beta_t(x)$ ,
- 3  $[s, x]^{-1} = [s^*, \beta_s(x)]$ ,
- 4  $\mathcal{G}_{\text{tight}}^{(0)}(T) = \{[e, x] : e \in \mathcal{E}\} \cong \widehat{\mathcal{E}}_{\text{tight}}$

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$\mathcal{G}_{\text{tight}}(T)$  is the *tight groupoid of the inverse semigroup  $T$* .

Given any  $F \subseteq \mathcal{E}$ , we say that  $Z \subseteq F$  is a cover for  $F$  if for every  $0 \neq x \in F$  there exists  $z \in Z$  such that  $zx \neq 0$ .  $Z$  is cover for  $y \in \mathcal{E}$  if it is a cover for  $F = \{x \in \mathcal{E} : x \leq y\}$ .

A representation  $\phi : T \rightarrow B$  (where  $B$  is a unital  $C^*$ -algebra) is *tight* if for every  $e \in \mathcal{E}$  and every cover  $\{e_1, \dots, e_n\}$  for  $e$  we have

$$\phi(e) = \bigvee_{1 \leq i \leq n} \phi(e_i),$$

where “ $\bigvee$ ” refers to the operation of taking supremum of a commuting set of projections.

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where “ $\bigvee$ ” refers to the operation of taking supremum of a commuting set of projections.

Suppose that  $A$  is a  $C^*$ -algebra,  $T$  an inverse semigroup, and

$$\pi : T \rightarrow A^\sim$$

is a  $*$ -representation of  $T$  into  $A^\sim$ .

### Theorem

*If  $\pi : T \rightarrow A^\sim$  is a universal tight representation of  $T$ , then*

$$A \cong C^*(\mathcal{G}_{\text{tight}}(T))$$

In most cases, the same result applies for  $K$  any field and  $A$  a  $K$ -algebra

### Theorem

*If  $\pi : T \rightarrow A^\sim$  is a universal tight representation of  $T$ , then*

$$A \cong A_K(\mathcal{G}_{\text{tight}}(T))$$

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Thus, we can characterize various properties of  $A$  looking at the properties of  $\mathcal{G}_{\text{tight}}(T)$ , or equivalently, to the properties enjoyed by the action of  $T$  on  $\widehat{\mathcal{E}}_{\text{tight}}$



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Fix a  $*$ -inverse semigroup

$$\mathcal{S}_{G,E} := \{(\alpha, g, \beta) \mid g \in G, \alpha, \beta \in E^* \text{ with } d(\alpha) = gd(\beta)\},$$

with the operation induced by the product of elements in  $\mathcal{O}_{G,E}$ .

Consider the natural semigroup map

$$\begin{aligned}\pi : \mathcal{S}_{G,E} &\rightarrow \mathcal{O}_{G,E} \\ (\alpha, g, \beta) &\mapsto s_\alpha u_g s_\beta^*\end{aligned}$$

### Proposition

$\pi : \mathcal{S}_{G,E} \rightarrow \mathcal{O}_{G,E}$  is the universal tight representation of  $\mathcal{S}_{G,E}$ .

## Theorem

*For any field  $K$ , here are  $*$ -isomorphisms*

$$\mathcal{O}_{G,E} \cong C^*(\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E})) \text{ and } \mathcal{O}_{G,E}^{\text{alg}}(K) \cong A_K(\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E})).$$

We first characterize when  $\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E})$  is Hausdoff. A property that plays a central role is

#### Definition (Strongly fixed path)

Given  $g \in G$ , we say that a finite path  $\alpha$  is strongly fixed by  $g$  if

$$g\alpha = \alpha \text{ and } \varphi(g, \alpha) = 1.$$

If no proper prefix of  $\alpha$  is strongly fixed by  $g$ , we say that  $\alpha$  is a minimal strongly fixed path for  $g$ .

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## Theorem

*TFAE:*

- 1 *For every  $g$  in  $G$ , there are at most finitely many minimal strongly fixed paths for  $g$ .*
- 2  *$\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E})$  is Hausdorff.*



With respect to minimality, we have

## Theorem

*TFAE:*

- 1 *The matrix  $A_E$  is  $G$ -irreducible.*
- 2 *The groupoid  $\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E})$  is minimal.*

To characterize effectiveness, we need an extra definition

### Definition (Slack at $x$ )

Given  $g \in G$ , and  $x \in E^0$ , we say that  $g$  is slack at  $x$ , if there is  $n \in \mathbb{Z}^+$  such that all finite paths  $\gamma$  with  $\text{ran}(\gamma) = x$ , and  $|\gamma| \geq n$ , are strongly fixed by  $g$ .

Thus, we get

## Theorem

*TFAE:*

- 1 *The groupoid  $\mathcal{G}_{tight}(\mathcal{S}_{G,E})$  is effective.*
- 2
  - (i) *The graph  $E$  satisfies Condition (L).*
  - (ii) *Given a vertex  $x$ , and a group element  $g$  fixing every infinite path in  $Z(x)$ , then necessarily  $g$  is slack at  $x$ .*

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## Theorem

Let  $(G, E; \varphi)$  with  $G$  amenable,  $A$  the adjacency matrix of  $E$ , and such that for every  $g$  in  $G$ , there are at most finitely many minimal strongly fixed paths for  $g$ . Then the following are equivalent:

- 1 (i) The matrix  $A$  is  $G$ -irreducible.  
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(iii) Given  $g \in G$  and  $x \in E^0$  such that  $Z(x)$  is pointwise fixed by  $g$ , then  $g$  is slack at  $x$
- 2  $\mathcal{O}_{G,E}$  is simple.

## Theorem

Let  $(G, E; \varphi)$ ,  $K$  a field,  $A$  the adjacency matrix of  $E$ , and such that for every  $g$  in  $G$ , there are at most finitely many minimal strongly fixed paths for  $g$ . Then the following are equivalent:

- 1 (i) The matrix  $A$  is  $G$ -irreducible.  
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- 2  $A_K(\mathcal{G}_{\text{tight}}(\mathcal{S}_{G,E}))$  is simple.

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In a sequent (arXiv) work, Exel-P.-Starling extended the definition of self-similar action to arbitrary (countable) graphs:

Via a desingularization process, reduce the problem to row-finite graphs with no sources or sinks.

Under this restriction, developed a tight groupoid representation & characterized properties (except locally contracting).



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They use a Cuntz-Pimsner model for their algebras.

No tight groupoid model is provided.

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The groupoid model comes from the original Spielberg construction. Properties stated under extra restrictions (right cancellative and no inverses).

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They gave a “graph-groupoid” model in the pseudo-free case.

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Now, they are working on a model for (partial) actions of groups (groupoids) on small categories, covering [BKQS] and [LRRW].

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# Self-similar graphs and their algebras.

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May 28, 2020.